

**539 Aging and Disability Services, Department of**

GOAL:	2	Regulation, Certification, and Outreach	Statewide Goal/Benchmark:	7	3
OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	2	Credentialing/Certification	Service: 16	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
<b>Output Measures:</b>						
KEY 1	Number of Licenses Issued Per Year: Nursing Facility Administrators	1,183.00	1,155.00	1,072.00	1,161.00	1,127.00
2	Number of Credentials Issued Per Year: Nurse/Medication Aides	132,172.00	110,745.00	121,604.00	132,435.00	143,452.00
3	Number of Complaints Resolved/Year: Nursing Facility Administrators	134.00	143.00	139.00	141.00	140.00
4	Number of Complaints Resolved/Year: Nurse/Medication Aides/Direct Care	77.00	72.00	75.00	73.00	74.00
<b>Efficiency Measures:</b>						
1	Average Cost Per License Issued: Nursing Facility Administrators	62.89	63.41	64.23	64.23	64.23
2	Average Cost Per Credential Issued: Nurse/Medication Aides	79.06	79.56	80.01	80.01	80.01
3	Average Cost Per Complaint Resolved: Nursing Facility Administrators	4,806.73	4,805.89	4,806.31	4,806.31	4,806.31
4	Average Cost Per Complaint Resolved: Nurse/Medication Aides	4,373.58	4,355.21	4,364.39	4,364.39	4,364.39
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$1,058,670	\$1,107,213	\$1,066,255	\$1,066,255	\$1,066,255

**539 Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification, and Outreach Statewide Goal/Benchmark: 7 3  
 OBJECTIVE: 1 Regulation, Certification, and Outreach Service Categories:  
 STRATEGY: 2 Credentialing/Certification Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
1002	OTHER PERSONNEL COSTS	\$44,840	\$48,500	\$46,560	\$46,560	\$46,560
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$6,496	\$13,321	\$13,321	\$13,321
2003	CONSUMABLE SUPPLIES	\$4,683	\$5,607	\$6,148	\$6,148	\$6,148
2004	UTILITIES	\$708	\$2,111	\$8,276	\$8,276	\$8,276
2005	TRAVEL	\$50,836	\$50,480	\$56,828	\$56,828	\$56,828
2009	OTHER OPERATING EXPENSE	\$84,043	\$125,317	\$111,620	\$106,268	\$106,268
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$1,243,780</b>	<b>\$1,345,724</b>	<b>\$1,309,008</b>	<b>\$1,303,656</b>	<b>\$1,303,656</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$730,492	\$805,628	\$762,340	\$775,404	\$775,404
758	GR Match For Medicaid	\$130,685	\$121,724	\$124,928	\$131,906	\$131,906
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$861,177</b>	<b>\$927,352</b>	<b>\$887,268</b>	<b>\$907,310</b>	<b>\$907,310</b>
<b>Method of Financing:</b>						
555	Federal Funds					
	93.777.000 State Survey and Certific	\$254,672	\$257,016	\$262,609	\$236,647	\$236,647
	93.777.002 SURVEY & CERT @ 75%	\$54,867	\$42,056	\$54,470	\$56,690	\$56,690
	93.778.003 XIX 50%	\$73,064	\$119,300	\$104,661	\$103,009	\$103,009
CFDA Subtotal, Fund	555	\$382,603	\$418,372	\$421,740	\$396,346	\$396,346

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OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	2	Credentialing/Certification	Service: 16	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$382,603</b>	<b>\$418,372</b>	<b>\$421,740</b>	<b>\$396,346</b>	<b>\$396,346</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$1,303,656</b>	<b>\$1,303,656</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$1,243,780</b>	<b>\$1,345,724</b>	<b>\$1,309,008</b>	<b>\$1,303,656</b>	<b>\$1,303,656</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>27.4</b>	<b>26.2</b>	<b>28.0</b>	<b>28.0</b>	<b>28.0</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

The Credentialing/Certification strategy covers DADS licensing, certification, permitting, and monitoring of individuals for the purpose of employability in facilities and agencies regulated by DADS through four credentialing programs. Nursing Facility Administrator (NFA) Licensing and Enforcement responsibilities include licensing and continuing education activities; investigating complaints or referrals; coordinating sanction recommendations and other licensure activities; imposing and monitoring sanctions and due process considerations; and developing educational, training, and testing curricula.

Nurse Aide Registry (NAR) and Nurse Aide Training and Competency Evaluation Program (NATCEP) responsibilities include nurse aide certification and sanction activities; approving, renewing or withdrawing approval of NATCEPs; and due process considerations and determination of nurse aide employability in DADS regulated facilities via the NAR.

Employee Misconduct Registry (EMR) responsibilities include due process considerations and determination of unlicensed staff employability in DADS regulated facilities/agencies via the EMR.

Medication Aide Program responsibilities include medication aide permit issuance and renewal; imposing and monitoring sanctions; due process considerations; approving and monitoring medication aide training programs in educational institutions; and coordinating/administering examinations.

Statutory Authority. Health and Safety Code, Chapters 142, 242, and 253; Human Resources Code, Chapter 161; and Social Security Act, §1919.

**539 Aging and Disability Services, Department of**

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OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	2	Credentialing/Certification	Service: 16	Income: A.2	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2013</b>	<b>Est 2014</b>	<b>Bud 2015</b>	<b>BL 2016</b>	<b>BL 2017</b>
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**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The number of license applications, referrals and complaints for misconduct against licensees, and cases with final sanction depend on contacts from the public and the findings of Regulatory Services staff in facility surveys and complaint investigations. It is anticipated that the Employee Misconduct Registry (EMR) workload will increase as SSLC, Local Authority centers, and state hospital employees are being added to the pool of employees subject to the EMR.

### 3.D. Sub-Strategy Level Detail

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

Date: Jul 21, 2014

Time: 4:13:23 PM

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 2 Credentialing/Certification  
 SUB-STRATEGY: 1 Nursing Facilities Administrators

Code Description	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
<b>Output Measures:</b>					
1 Number of Licenses Issued or Renewed Per Year: Nursing Facility Administrators	1183	1155	1072	1161	1127
3 Number of Complaints and Referrals Resolved Per Year: Nursing Facility Administrators	134	143	139	141	140
<b>Efficiency Measures:</b>					
1 Average Cost Per License Issued: Nursing Facility Administrators	\$62.89	\$63.41	\$64.23	\$64.23	\$64.23
3 Average Cost Per Complaint or Referral Resolved: Nursing Facility Administrators	\$4,806.73	\$4,805.89	\$4,806.31	\$4,806.31	\$4,806.31
<b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$377,408	\$393,900	\$374,900	\$374,900	\$374,900
1002 - Other Personnel Costs	\$15,960	\$18,460	\$20,160	\$20,160	\$20,160
2001 - Professional Fees & Services	\$0	\$3,300	\$8,890	\$8,890	\$8,890
2003 - Consumable Supplies	\$1,546	\$3,241	\$2,820	\$2,820	\$2,820
2004 - Utilities	\$60	\$966	\$7,003	\$7,003	\$7,003
2005 - Travel	\$40,338	\$39,035	\$39,488	\$39,488	\$39,488
2009 - Other Operating Expense	\$10,676	\$15,852	\$23,856	\$23,856	\$23,856
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$445,988</b>	<b>\$474,754</b>	<b>\$477,117</b>	<b>\$477,117</b>	<b>\$477,117</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$445,988	\$474,754	\$477,117	\$477,117	\$477,117
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$445,988</b>	<b>\$474,754</b>	<b>\$477,117</b>	<b>\$477,117</b>	<b>\$477,117</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$445,988</b>	<b>\$474,754</b>	<b>\$477,117</b>	<b>\$477,117</b>	<b>\$477,117</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>8.9</b>	<b>8.5</b>	<b>9.0</b>	<b>9.0</b>	<b>9.0</b>

### 3.D. Sub-Strategy Level Detail

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

Date: Jul 21, 2014

Time: 4:13:23 PM

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 2 Credentialing/Certification  
 SUB-STRATEGY: 2 Nurse Aids, Medication Aids, & Uncredentialed Staff

Code Description	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
<b>Output Measures:</b>					
2 Number of Credentials Issued or Renewed Per Year: Nurse Aides and Medication Aides	132,172.00	110,745.00	121,604.00	132,435.00	143,452.00
4 Number of Complaints and Referrals Resolved Per Year: Nurse Aides, Medication Aides and Uncredentialed Direct Care	77.00	72.00	75.00	73.00	74.00
<b>Efficiency Measures:</b>					
2 Average Cost Per Credential Issued: Nurse Aides and Medication Aides	\$79.06	\$79.56	\$80.01	\$80.01	\$80.01
4 Average Cost Per Complaint or Referral Resolved: Nurse Aides, Medication Aides and Uncredentialed Direct Care Personnel	\$4,373.58	\$4,355.21	\$4,364.39	\$4,364.39	\$4,364.39
<b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$563,834	\$589,896	\$554,199	\$554,199	\$554,199
1002 - Other Personnel Costs	\$24,360	\$25,320	\$22,201	\$22,201	\$22,200
2001 - Professional Fees & Services	\$0	\$2,092	\$4,208	\$4,208	\$4,208
2003 - Consumable Supplies	\$1,754	\$1,631	\$2,006	\$2,006	\$2,006
2004 - Utilities	\$0	\$611	\$769	\$769	\$769
2005 - Travel	\$0	\$4,653	\$6,815	\$6,815	\$6,815
2009 - Other Operating Expense	\$12,525	\$21,896	\$18,515	\$18,515	\$18,515
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$602,473</b>	<b>\$646,099</b>	<b>\$608,711</b>	<b>\$608,711</b>	<b>\$608,711</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$245,530	\$271,556	\$239,082	\$256,778	\$256,778
0758 GR-Match for Medicaid	\$95,861	\$81,182	\$84,691	\$92,634	\$92,634
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$341,391</b>	<b>\$352,738</b>	<b>\$323,773</b>	<b>\$349,412</b>	<b>\$349,412</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.777.000 State Survey & Certification	\$192,619	\$184,771	\$190,907	\$166,665	\$166,665
93.778.003 XIX ADM 50%	\$68,463	\$108,590	\$94,031	\$92,634	\$92,634
<b>CFDA Subtotal, Fund 0555</b>	<b>\$261,082</b>	<b>\$293,361</b>	<b>\$284,938</b>	<b>\$259,299</b>	<b>\$259,299</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$261,082</b>	<b>\$293,361</b>	<b>\$284,938</b>	<b>\$259,299</b>	<b>\$259,299</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$602,473</b>	<b>\$646,099</b>	<b>\$608,711</b>	<b>\$608,711</b>	<b>\$608,711</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>15.7</b>	<b>15.0</b>	<b>16.0</b>	<b>16.0</b>	<b>16.0</b>

### 3.D. Sub-Strategy Level Detail

Agency Code: **539** Agency Name: **Aging and Disability Services, Department of** Date: Jul 21, 2014  
 Time: 4:13:23 PM

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 2 Credentialing/Certification  
 SUB-STRATEGY: 3 Program Administration

Code Description	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
<b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$117,428	\$123,418	\$137,157	\$137,157	\$137,157
1002 - Other Personnel Costs	\$4,520	\$4,720	\$4,200	\$4,200	\$4,200
2001 - Professional Fees & Services	\$0	\$1,105	\$223	\$223	\$223
2003 - Consumable Supplies	\$1,383	\$736	\$1,323	\$1,323	\$1,323
2004 - Utilities	\$648	\$534	\$505	\$505	\$505
2005 - Travel	\$10,498	\$6,792	\$10,525	\$10,525	\$10,525
2009 - Other Operating Expense	\$60,842	\$87,568	\$69,249	\$63,897	\$63,897
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$195,319</b>	<b>\$224,873</b>	<b>\$223,182</b>	<b>\$217,830</b>	<b>\$217,830</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$38,974	\$59,319	\$46,142	\$41,510	\$41,510
0758 GR-Match for Medicaid	\$34,823	\$40,542	\$40,237	\$39,272	\$39,272
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$73,797</b>	<b>\$99,861</b>	<b>\$86,380</b>	<b>\$80,782</b>	<b>\$80,782</b>
93.777.000 State Survey & Certification	\$62,054	\$72,244	\$71,701	\$69,982	\$69,982
93.777.002 SUR&C-75%	\$54,867	\$42,056	\$54,470	\$56,690	\$56,690
93.778.003 XIX ADM 50%	\$4,601	\$10,711	\$10,630	\$10,375	\$10,375
<b>CFDA Subtotal, Fund 0555</b>	<b>\$121,522</b>	<b>\$125,011</b>	<b>\$136,801</b>	<b>\$137,047</b>	<b>\$137,047</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$121,522</b>	<b>\$125,011</b>	<b>\$136,801</b>	<b>\$137,047</b>	<b>\$137,047</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$195,319</b>	<b>\$224,871</b>	<b>\$223,181</b>	<b>\$217,829</b>	<b>\$217,829</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>2.8</b>	<b>2.7</b>	<b>3.0</b>	<b>3.0</b>	<b>3.0</b>