

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification, and Outreach Statewide Goal/Benchmark: 7 5  
 OBJECTIVE: 1 Regulation, Certification, and Outreach Service Categories:  
 STRATEGY: 1 Facility and Community-Based Regulation Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
	1 Number of Long-term Care Facility Certifications Issued	2,072.00	2,068.00	2,068.00	2,068.00	2,068.00
	2 Number of Long-term Care Facility Licenses Issued	1,877.00	1,942.00	1,950.00	1,950.00	1,950.00
	3 # of On-site Nursing Facility/ICF/IID Monitoring Visits Completed	47.00	40.00	40.00	40.00	40.00
	4 Number of Inspections Completed Per Year	5,186.00	3,918.00	4,000.00	4,000.00	4,000.00
	5 Number of First Follow-up Visits Completed Per Year	5,708.00	5,530.00	5,560.00	5,560.00	5,560.00
	6 Number of Investigations Completed	15,958.00	16,000.00	16,000.00	16,000.00	16,000.00
	7 Total Dollar Amount Imposed from Fines	5,098,525.00	5,098,525.00	5,258,000.00	5,258,000.00	5,258,000.00
	8 Total Dollar Amount Assessed from Fines	2,206,807.00	2,206,808.00	2,591,056.00	2,600,000.00	2,600,000.00
KEY	9 Total Dollar Amount Collected from Fines	2,540,261.00	3,967,979.00	3,254,120.00	3,254,120.00	3,254,120.00
	10 Number of Medicaid Facility and Hospice Service Contracts Issued	159.00	202.00	160.00	160.00	160.00
	11 Number of Home and Community Support Services Agency Licenses Issued	3,268.00	2,976.00	3,000.00	3,000.00	3,000.00
	12 Number Home & Community Support Services Agency Inspections Conducted	1,281.00	1,315.00	1,350.00	1,350.00	1,350.00
	13 Number of Complaint Investigations Conducted: HCSSA	1,916.00	2,500.00	2,500.00	2,500.00	2,500.00
	14 # Substantiated Complaint Allegation Abuse/Neglect: Nursing Facilities	1,967.00	1,800.00	1,800.00	1,800.00	1,800.00

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**539 Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification, and Outreach Statewide Goal/Benchmark: 7 5  
 OBJECTIVE: 1 Regulation, Certification, and Outreach Service Categories:  
 STRATEGY: 1 Facility and Community-Based Regulation Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
15	# Substantiated Complaint Allegations of Abuse/Neglect: ALF	429.00	340.00	300.00	300.00	300.00
16	# Substantiated Complaint Allegations of Abuse/Neglect: Adult Day Care	41.00	60.00	40.00	40.00	40.00
17	Number of Substantiated Complaint Allegations of Abuse/Neglect:ICF/IID	118.00	0.00	0.00	0.00	0.00
18	# Substantiated Complaint Allegations Physical Plant: NF	212.00	200.00	200.00	200.00	200.00
19	# Substantiated Complaint Allegations Unsafe Physical Plant: ALF	124.00	45.00	45.00	45.00	45.00
20	# Substantiated Complaint Allegations Unsafe Physical Plant: ADC	8.00	9.00	9.00	9.00	9.00
21	# Substantiated Complaint Allegations of Unsafe Physical: ICF/IID	8.00	10.00	10.00	10.00	10.00
22	# of Initial HCS and TxHmL Reviews Completed	120.00	304.00	304.00	304.00	304.00
23	# of Annual Hcs & TxHmL Recertification Reviews Completed	663.00	670.00	670.00	670.00	670.00
24	Number of Abuse/Neglect Reports Received: HCS,ICF/IID &TxHmL Providers	6,803.00	6,440.00	6,440.00	6,440.00	6,440.00
25	Number of Abuse/Neglect Reports Reviewed: HCS, ICF/ID &TxHmL Providers	6,877.00	6,294.00	6,294.00	6,294.00	6,294.00

**Efficiency Measures:**

3.A. STRATEGY REQUEST

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539 Aging and Disability Services, Department of

GOAL: 2 Regulation, Certification, and Outreach Statewide Goal/Benchmark: 7 5  
 OBJECTIVE: 1 Regulation, Certification, and Outreach Service Categories:  
 STRATEGY: 1 Facility and Community-Based Regulation Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
1	Average Cost Per Facility Visit	1,972.47	1,980.86	1,989.45	1,996.33	1,996.33
2	Average Cost Per Medicaid Facility and Hospice Service Contract Issued	330.76	332.14	333.52	333.52	333.52
<b>Explanatory/Input Measures:</b>						
1	Number of Facilities Terminated from Licensure and/or Certification	12.00	12.00	12.00	12.00	12.00
2	Number of Medicaid Facility Contracts Terminated	87.00	98.00	100.00	100.00	100.00
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$49,899,171	\$47,907,267	\$48,243,469	\$48,075,366	\$48,075,366
1002	OTHER PERSONNEL COSTS	\$1,289,152	\$1,736,905	\$1,276,080	\$1,506,494	\$1,506,494
2001	PROFESSIONAL FEES AND SERVICES	\$625,287	\$773,926	\$751,498	\$762,712	\$762,712
2002	FUELS AND LUBRICANTS	\$26,929	\$16,884	\$6,762	\$11,823	\$11,823
2003	CONSUMABLE SUPPLIES	\$83,190	\$70,724	\$85,717	\$78,220	\$78,220
2004	UTILITIES	\$235,305	\$235,174	\$203,248	\$219,211	\$219,211
2005	TRAVEL	\$4,337,555	\$4,814,356	\$4,886,801	\$4,850,579	\$4,850,579
2006	RENT - BUILDING	\$25,587	\$28,272	\$21,018	\$24,645	\$24,645
2007	RENT - MACHINE AND OTHER	\$24,385	\$23,167	\$26,885	\$25,026	\$25,026
2009	OTHER OPERATING EXPENSE	\$8,077,424	\$9,804,770	\$9,908,143	\$9,856,457	\$9,856,457

**3.A. STRATEGY REQUEST**  
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 Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL:	2	Regulation, Certification, and Outreach	Statewide Goal/Benchmark:	7	5
OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	1	Facility and Community-Based Regulation	Service: 17	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$64,623,985</b>	<b>\$65,411,445</b>	<b>\$65,409,621</b>	<b>\$65,410,533</b>	<b>\$65,410,533</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$10,657,508	\$8,441,665	\$8,471,447	\$8,456,556	\$8,456,556
758	GR Match For Medicaid	\$9,434,068	\$10,466,190	\$10,435,040	\$10,450,615	\$10,450,615
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$20,091,576</b>	<b>\$18,907,855</b>	<b>\$18,906,487</b>	<b>\$18,907,171</b>	<b>\$18,907,171</b>
<b>Method of Financing:</b>						
5018	Home Health Services Acct	\$1,948,343	\$1,948,343	\$1,948,343	\$1,948,343	\$1,948,343
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$1,948,343</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>
<b>Method of Financing:</b>						
555	Federal Funds					
	93.777.000 State Survey and Certific	\$21,575,501	\$22,320,504	\$22,320,504	\$22,320,504	\$22,320,504
	93.777.002 SURVEY & CERT @ 75%	\$17,658,013	\$18,640,222	\$18,640,222	\$18,640,222	\$18,640,222
	93.778.003 XIX 50%	\$3,350,552	\$3,594,521	\$3,594,065	\$3,594,293	\$3,594,293
CFDA Subtotal, Fund	555	\$42,584,066	\$44,555,247	\$44,554,791	\$44,555,019	\$44,555,019
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$42,584,066</b>	<b>\$44,555,247</b>	<b>\$44,554,791</b>	<b>\$44,555,019</b>	<b>\$44,555,019</b>

**3.A. STRATEGY REQUEST**

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**539 Aging and Disability Services, Department of**

GOAL:	2	Regulation, Certification, and Outreach	Statewide Goal/Benchmark:	7	5
OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	1	Facility and Community-Based Regulation	Service: 17	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$65,410,533</b>	<b>\$65,410,533</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$64,623,985</b>	<b>\$65,411,445</b>	<b>\$65,409,621</b>	<b>\$65,410,533</b>	<b>\$65,410,533</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>1,064.5</b>	<b>1,050.8</b>	<b>1,081.5</b>	<b>1,081.5</b>	<b>1,081.5</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

The Facility and Community-based Regulation strategy covers the licensing and regulation of all long-term care facilities/agencies that meet the definition of nursing homes, assisted living facilities, adult day-care facilities, privately owned Intermediate Care Facilities for individuals with an Intellectual Disability (ICFs/IID), and Home and Community Support Services Agencies (HCSSAs). Licensed facilities/agencies wishing to participate in Medicare and/or Medicaid programs must be certified and maintain compliance with certification regulations according to Titles XVIII and/or XIX of the Social Security Act. Government-operated ICFs/IID and skilled nursing units within an acute care hospital are also required to be certified in order to participate in Medicare and/or Medicaid.

In addition to licensing these long-term care facilities and agencies, DADS responsibilities for these regulated programs include investigating complaints and self-reported incidents; monitoring facilities for compliance with state and/or federal regulations; certification review of the Home and Community-based Services (HCS) waiver contracts and the Texas Home Living (TxHmL) waiver contracts; investigating complaints related to HCS and TxHmL services; and receiving and following up on Department of Family and Protective Services (DFPS) findings related to abuse, neglect, or exploitation investigations of persons who receive HCS or TxHmL services.

Statutory Authority. Human Resources Code, Chapters 48, 103, and 161; Health and Safety Code, Chapters 142, 242, 247, 252, and 533.

**539 Aging and Disability Services, Department of**

GOAL:	2	Regulation, Certification, and Outreach	Statewide Goal/Benchmark:	7	5
OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	1	Facility and Community-Based Regulation	Service: 17	Income: A.2	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

Factors impacting this strategy include federal enforcement regulations and revised survey protocols to further protect facility residents. Increased workload from large “chain” operators undergoing changes of ownership necessitates on-site inspections to determine compliance with regulations by new operators. The growth occurring in licensed HCSSAs has increased application processing, license issuance, surveys to determine compliance with regulations, and taking enforcement action when needed. State licensing regulations and remedy options have increased the licensing workload. In FY 09, the number LTC facility licenses and HCSSA licenses issued are changed from a one year to a two year license period.

Beginning June 1, 2010, DFPS will assume Abuse, Neglect, and Exploitation investigations in licensed ICFs/IID. DFPS will now be responsible for providing the due process for those EMR cases.

Per the 82nd Legislature, 60 FTEs were reduced in FY 12-13.

The following exceptional items(s) will impact this strategy: Item Protecting Vulnerable Texans requests funds for 18.5 FTEs for oversight of day habilitation services, funds for additional 20 waiver survey and certification reviewers for conducting initial and annual reviews of all provider contracts funded through HCS and TXHmL waiver programs due to increase in growth and also includes funding for Regulatory Mobility Investigators Initial Phase which is a project to use technology to increase the investigators’ presence, response time, and other efficiencies.

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY: 1 Nursing Facilities

Code	Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
1	Number of Long-term Care Facility Certifications Issued	2,072.00	2,068.00	2,068.00	2,068.00	2,068.00
2	Number of Long-term Care Facility Licenses Issued	1,877.00	1,942.00	1,950.00	1,950.00	1,950.00
3	Number of On-site Nursing Facility/Intermediate Care Facilities for Persons with Intellectual Disabilities (ID) Monitoring Visits Completed	47.00	40.00	40.00	40.00	40.00
4	Number of Inspections Completed Per Year	5,186.00	3,918.00	4,000.00	4,000.00	4,000.00
5	Number of First Follow-up Visits Completed Per Year	5,708.00	5,530.00	5,560.00	5,560.00	5,560.00
6	Number of Complaint and Incident Investigations Completed	15,958.00	16,000.00	16,000.00	16,000.00	16,000.00
7	Total Dollar Amount Imposed from Fines	\$5,098,525.00	\$5,098,525.00	\$5,258,000.00	\$5,258,000.00	\$5,258,000.00
8	Total Dollar Amount Assessed from Fines	\$2,206,807.00	\$2,206,808.00	\$2,591,056.00	\$2,600,000.00	\$2,600,000.00
9	Total Dollar Amount Collected from Fines	\$2,540,261.00	\$3,967,979.00	\$3,254,120.00	\$3,254,120.00	\$3,254,120.00
10	Number of Medicaid Facility and Hospice Service Contracts Issued	159.00	202.00	160.00	160.00	160.00
14	Number of Substantiated Complaint Allegations of Abuse/Neglect: Nursing Facilities	1,967.00	1,800.00	1,800.00	1,800.00	1,800.00
18	Number of Substantiated Complaint Allegations of Unsafe Physical Plant and/or Environmental Conditions: Nursing Facilities	212.00	200.00	200.00	200.00	200.00
<b>Efficiency Measures:</b>						
2	Average Cost Per Medicaid Facility and Hospice Service Contract Issued	\$330.76	\$332.14	\$333.52	\$333.52	\$333.52
<b>Explanatory Measures:</b>						
1	Number of Facilities Terminated from Licensure and/or Certification Programs	\$12.00	\$12.00	\$12.00	\$12.00	\$12.00
2	Number of Medicaid Facility Contracts Terminated	87.00	98.00	100.00	100.00	100.00
<b>Objects of Expense:</b>						
1001	Salaries & Wages	\$22,678,166	\$22,875,819	\$22,790,458	\$22,833,137	\$22,833,137
1002	Other Personnel Costs	\$870,946	\$704,514	\$571,663	\$638,089	\$638,089
2001	Professional Fees & Services	\$393,449	\$217,728	\$430,228	\$323,978	\$323,978
2002	Fuels & Lubricants	\$26,929	\$16,884	\$6,762	\$11,823	\$11,823
2003	Consumable Supplies	\$53,219	\$44,374	\$61,305	\$52,840	\$52,840

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY: 1 Nursing Facilities

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
2004 - Utilities	\$11	\$276	\$678	\$477	\$477
2005 - Travel	\$1,727,023	\$1,812,750	\$1,842,767	\$1,827,758	\$1,827,758
2006 - Rent - Building	\$531	\$9,018	\$8,168	\$8,593	\$8,593
2007 - Rent - Machine and Other	\$16,340	\$13,552	\$15,808	\$15,180	\$15,180
2009 - Other Operating Expense	\$5,442,917	\$6,746,270	\$6,695,932	\$6,721,101	\$6,721,101
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$31,209,531</b>	<b>\$32,441,184</b>	<b>\$32,423,769</b>	<b>\$32,432,976</b>	<b>\$32,432,976</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$4,372,903	\$4,100,265	\$4,355,885	\$3,354,307	\$3,354,307
0758 GR-Match for Medicaid	\$3,938,206	\$4,531,667	\$4,373,535	\$4,465,601	\$4,465,601
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$8,311,110</b>	<b>\$8,631,933</b>	<b>\$8,729,420</b>	<b>\$7,819,908</b>	<b>\$7,819,908</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.777.000 State Survey & Certification	\$12,209,528	\$13,346,898	\$13,236,875	\$13,292,386	\$13,292,386
93.777.002 SUR&C-75%	\$10,422,354	\$10,315,184	\$10,235,215	\$11,247,102	\$11,247,102
93.778.003 XIX ADM 50%	\$266,540	\$173,170	\$222,258	\$73,579	\$73,579
<b>CFDA Subtotal, Fund 0555</b>	<b>\$22,898,421</b>	<b>\$23,835,252</b>	<b>\$23,694,349</b>	<b>\$24,613,068</b>	<b>\$24,613,068</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$22,898,421</b>	<b>\$23,835,252</b>	<b>\$23,694,349</b>	<b>\$24,613,068</b>	<b>\$24,613,068</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$31,209,531</b>	<b>\$32,467,184</b>	<b>\$32,423,769</b>	<b>\$32,432,976</b>	<b>\$32,432,976</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>-\$26,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>489.4</b>	<b>503.3</b>	<b>521.4</b>	<b>521.4</b>	<b>521.4</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY: 2 Assisted Living Facilities

Code	Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
4	Number of Inspections Completed Per Year	5,186.00	3,918.00	4,000.00	4,000.00	4,000.00
5	Number of First Follow-up Visits Completed Per Year	5,708.00	5,530.00	5,560.00	5,560.00	5,560.00
6	Number of Complaint and Incident Investigations Completed	15,958.00	16,000.00	16,000.00	16,000.00	16,000.00
15	Number of Substantiated Complaint Allegations of Abuse/Neglect: Assisted Living Facilities	429.00	340.00	300.00	300.00	300.00
19	Number of Substantiated Complaint Allegations of Unsafe Physical Plant and/or Environmental Conditions: Assisted Living Facilities	124.00	45.00	45.00	45.00	45.00
<b>Efficiency Measures:</b>						
1	Average Cost Per Facility Visit	\$1,972.47	\$1,980.86	\$1,989.45	\$1,996.33	\$1,996.33
<b>Objects of Expense:</b>						
1001	Salaries & Wages	\$2,212,629	\$1,190,210	\$1,182,365	\$1,186,287	\$1,186,287
1002	Other Personnel Costs	\$47,386	\$35,661	\$28,812	\$32,237	\$32,237
2001	Professional Fees & Services	\$2,985	\$10,073	\$12,897	\$11,485	\$11,485
2003	Consumable Supplies	\$2,754	\$908	\$364	\$636	\$636
2004	Utilities	\$5,293	\$2,339	\$3,262	\$2,801	\$2,801
2005	Travel	\$176,627	\$97,065	\$98,619	\$97,842	\$97,842
2006	Rent - Building	\$54	\$485	\$439	\$462	\$462
2007	Rent - Machine and Other	\$1,671	\$2,126	\$2,624	\$2,375	\$2,375
2009	Other Operating Expense	\$386,557	\$223,826	\$218,731	\$221,278	\$221,278
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$2,835,956</b>	<b>\$1,562,693</b>	<b>\$1,548,113</b>	<b>\$1,555,403</b>	<b>\$1,555,403</b>
<b>Method of Financing:</b>						
0001	General Revenue Fund	\$2,835,956	\$1,562,693	\$1,548,113	\$1,555,403	\$1,555,403
0758	GR-Match for Medicaid	\$0	\$0	\$0	\$0	\$0
<b>SUBTOTAL, MOF (General Revenue )</b>		<b>\$2,835,956</b>	<b>\$1,562,693</b>	<b>\$1,548,113</b>	<b>\$1,555,403</b>	<b>\$1,555,403</b>
<b>Method of Financing:</b>						
0555	Federal Funds					
93.777.000	State Survey & Certification	\$0	\$0	\$0	\$0	\$0
93.777.002	SUR&C-75%	\$0	\$0	\$0	\$0	\$0

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY: 2 Assisted Living Facilities

<b>Code Description</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
<b>CFDA Subtotal, Fund 0555</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$2,835,956</b>	<b>\$1,562,693</b>	<b>\$1,548,113</b>	<b>\$1,555,403</b>	<b>\$1,555,403</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>48.6</b>	<b>26.2</b>	<b>27.2</b>	<b>27.2</b>	<b>27.2</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY: 3 Adult Day Care

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
4 Number of Inspections Completed Per Year	5,186.00	3,918.00	4,000.00	4,000.00	4,000.00
5 Number of First Follow-up Visits Completed Per Year	5,708.00	5,530.00	5,560.00	5,560.00	5,560.00
6 Number of Complaint and Incident Investigations Completed	15,958.00	16,000.00	16,000.00	16,000.00	16,000.00
16 Number of Substantiated Complaint Allegations of Abuse/Neglect: Adult Day Care	41.00	60.00	40.00	40.00	40.00
20 Number of Substantiated Complaint Allegations of Unsafe Physical Plant and/or Environmental Conditions: Adult Day Care	8.00	9.00	9.00	9.00	9.00
<b>Efficiency Measures:</b>					
1 Average Cost Per Facility Visit	\$1,972.47	\$1,980.86	\$1,989.45	\$1,996.33	\$1,996.33
<b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$737,543	\$476,084	\$472,946	\$474,515	\$474,515
1002 - Other Personnel Costs	\$15,796	\$14,264	\$11,525	\$12,894	\$12,894
2001 - Professional Fees & Services	\$995	\$4,029	\$5,158	\$4,594	\$4,594
2003 - Consumable Supplies	\$918	\$364	\$146	\$254	\$254
2004 - Utilities	\$1,764	\$935	\$1,305	\$1,121	\$1,121
2005 - Travel	\$58,876	\$38,825	\$39,448	\$39,137	\$39,137
2006 - Rent - Building	\$18	\$194	\$176	\$185	\$185
2007 - Rent - Machine and Other	\$557	\$851	\$1,050	\$950	\$950
2009 - Other Operating Expense	\$128,852	\$89,530	\$87,492	\$88,511	\$88,511
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$945,319</b>	<b>\$625,076</b>	<b>\$619,245</b>	<b>\$622,161</b>	<b>\$622,161</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$945,319	\$625,076	\$619,245	\$622,161	\$622,161
0758 GR-Match for Medicaid	\$0	\$0	\$0	\$0	\$0
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$945,319</b>	<b>\$625,076</b>	<b>\$619,245</b>	<b>\$622,161</b>	<b>\$622,161</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.777.000 State Survey & Certification	\$0	\$0	\$0	\$0	\$0
93.777.002 SUR&C-75%	\$0	\$0	\$0	\$0	\$0

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY: 3 Adult Day Care

<b>Code Description</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
<b>CFDA Subtotal, Fund 0555</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$945,319</b>	<b>\$625,076</b>	<b>\$619,245</b>	<b>\$622,161</b>	<b>\$622,161</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>16.2</b>	<b>10.5</b>	<b>10.9</b>	<b>10.9</b>	<b>10.9</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY: 4 ICF-ID Facilities

Code	Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
3	Number of On-site Nursing Facility/Intermediate Care Facilities for Persons with Intellectual Disabilities (ID) Monitoring Visits Completed	47.00	40.00	40.00	40.00	40.00
4	Number of Inspections Completed Per Year	5,186.00	3,918.00	4,000.00	4,000.00	4,000.00
5	Number of First Follow-up Visits Completed Per Year	5,708.00	5,530.00	5,560.00	5,560.00	5,560.00
6	Number of Complaint and Incident Investigations Completed	15,958.00	16,000.00	16,000.00	16,000.00	16,000.00
17	Number of Substantiated Complaint Allegations of Abuse/Neglect: Intermediate Care Facilities (ID)	118.00	0.00	0.00	0.00	0.00
21	Number of Substantiated Complaint Allegations of Unsafe Physical Plant and/or Environmental Conditions: Intermediate Care Facilities (ID)	8.00	10.00	10.00	10.00	10.00
<b>Efficiency Measures:</b>						
1	Average Cost Per Facility Visit	\$1,972.47	\$1,980.86	\$1,989.45	\$1,996.33	\$1,996.33
<b>Objects of Expense:</b>						
1001	Salaries & Wages	\$4,153,766	\$4,201,616	\$4,578,952	\$4,390,284	\$4,390,284
1002	Other Personnel Costs	\$114,080	\$153,374	\$123,120	\$138,247	\$138,247
2001	Professional Fees & Services	\$0	\$3,910	\$5,874	\$4,892	\$4,892
2003	Consumable Supplies	\$1,324	\$2,730	\$1,872	\$2,301	\$2,301
2004	Utilities	\$17,903	\$15,156	\$8,058	\$11,607	\$11,607
2005	Travel	\$502,566	\$547,275	\$560,588	\$553,931	\$553,931
2006	Rent - Building	\$0	\$300	\$0	\$150	\$150
2007	Rent - Machine and Other	\$20	\$160	\$0	\$80	\$80
2009	Other Operating Expense	\$696,673	\$735,416	\$742,047	\$738,732	\$738,732
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$5,486,332</b>	<b>\$5,659,935</b>	<b>\$6,020,512</b>	<b>\$5,840,224</b>	<b>\$5,840,224</b>
<b>Method of Financing:</b>						
0758	GR-Match for Medicaid	\$1,371,583	\$1,414,984	\$1,505,128	\$1,460,056	\$1,460,056
<b>SUBTOTAL, MOF (General Revenue )</b>		<b>\$1,371,583</b>	<b>\$1,414,984</b>	<b>\$1,505,128</b>	<b>\$1,460,056</b>	<b>\$1,460,056</b>
<b>Method of Financing:</b>						
0555	Federal Funds					
93.777.002	SUR&C-75%	\$4,114,749	\$4,244,951	\$4,515,384	\$4,380,168	\$4,380,168

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY: 4 ICF-ID Facilities

<b>Code Description</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
<b>CFDA Subtotal, Fund 0555</b>	<b>\$4,114,749</b>	<b>\$4,244,951</b>	<b>\$4,515,384</b>	<b>\$4,380,168</b>	<b>\$4,380,168</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$4,114,749</b>	<b>\$4,244,951</b>	<b>\$4,515,384</b>	<b>\$4,380,168</b>	<b>\$4,380,168</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$5,486,332</b>	<b>\$5,659,935</b>	<b>\$6,020,512</b>	<b>\$5,840,224</b>	<b>\$5,840,224</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>91.0</b>	<b>90.1</b>	<b>92.0</b>	<b>92.0</b>	<b>92.0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY: 5 Home & Community Support Services Licensing

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
11 Number of Home and Community Support Services Agency Licenses Issued	3,268.00	2,976.00	3,000.00	3,000.00	3,000.00
12 Number Home and Community Support Services Agency Inspections Conducted	1,281.00	1,315.00	1,350.00	1,350.00	1,350.00
13 Number of Complaint Investigations Conducted On-site: Home and Community Support Services Agenc	1,916.00	2,500.00	2,500.00	2,500.00	2,500.00
<b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$6,358,658	\$6,319,928	\$6,739,507	\$6,529,718	\$6,529,718
1002 - Other Personnel Costs	\$133,440	\$189,332	\$142,440	\$165,886	\$165,886
2001 - Professional Fees & Services	\$1,513	\$30,774	\$88,382	\$59,578	\$59,578
2003 - Consumable Supplies	\$6,181	\$9,374	\$9,128	\$9,251	\$9,251
2004 - Utilities	\$19,282	\$16,912	\$13,642	\$15,278	\$15,278
2005 - Travel	\$525,887	\$594,970	\$682,167	\$638,568	\$638,568
2006 - Rent - Building	\$2,175	\$489	\$700	\$594	\$594
2007 - Rent - Machine and Other	\$581	\$164	\$1,580	\$872	\$872
2009 - Other Operating Expense	\$680,479	\$743,512	\$810,414	\$776,964	\$776,964
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$7,728,196</b>	<b>\$7,905,457</b>	<b>\$8,487,961</b>	<b>\$8,196,709</b>	<b>\$8,196,709</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$1,144,210	\$998,456	\$1,177,725	\$1,275,787	\$1,275,787
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$1,144,210</b>	<b>\$998,456</b>	<b>\$1,177,725</b>	<b>\$1,275,787</b>	<b>\$1,275,787</b>
<b>Method of Financing:</b>					
5018 GR Ded-HCSSA	\$1,948,343	\$1,948,343	\$1,948,343	\$1,948,343	\$1,948,343
<b>SUBTOTAL, MOF (General Revenue-Dedicated )</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.777.000 State Survey & Certification	\$4,635,643	\$4,958,658	\$5,361,893	\$4,972,579	\$4,972,579
<b>CFDA Subtotal, Fund 0555</b>	<b>\$4,635,643</b>	<b>\$4,958,658</b>	<b>\$5,361,893</b>	<b>\$4,972,579</b>	<b>\$4,972,579</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY: 5 Home & Community Support Services Licensing

<b>Code Description</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$4,635,643</b>	<b>\$4,958,658</b>	<b>\$5,361,893</b>	<b>\$4,972,579</b>	<b>\$4,972,579</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$7,728,196</b>	<b>\$7,905,457</b>	<b>\$8,487,961</b>	<b>\$8,196,709</b>	<b>\$8,196,709</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>135.9</b>	<b>132.5</b>	<b>137.8</b>	<b>137.8</b>	<b>137.8</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY: 6 Program Administration

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$13,758,409	\$12,843,610	\$12,479,241	\$12,661,428	\$12,661,428
1002 - Other Personnel Costs	\$107,504	\$639,760	\$398,520	\$519,140	\$519,140
2001 - Professional Fees & Services	\$226,345	\$507,412	\$208,958	\$358,184	\$358,184
2003 - Consumable Supplies	\$18,794	\$12,975	\$12,902	\$12,938	\$12,938
2004 - Utilities	\$191,052	\$199,556	\$176,302	\$187,928	\$187,928
2005 - Travel	\$1,346,576	\$1,723,472	\$1,663,213	\$1,693,342	\$1,693,342
2006 - Rent - Building	\$22,809	\$17,786	\$11,535	\$14,661	\$14,661
2007 - Rent - Machine and Other	\$5,216	\$6,314	\$5,823	\$5,568	\$5,568
2009 - Other Operating Expense	\$741,946	\$1,266,216	\$1,353,527	\$1,309,872	\$1,309,872
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$16,418,651</b>	<b>\$17,217,101</b>	<b>\$16,310,020</b>	<b>\$16,763,061</b>	<b>\$16,763,061</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$1,359,120	\$1,155,175	\$770,479	\$1,648,899	\$1,648,899
0758 GR-Match for Medicaid	\$4,124,278	\$4,545,539	\$4,556,377	\$4,524,958	\$4,524,958
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$5,483,398</b>	<b>\$5,700,714</b>	<b>\$5,326,856</b>	<b>\$6,173,858</b>	<b>\$6,173,858</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.777.000 State Survey & Certification	\$4,730,330	\$4,014,948	\$3,721,735	\$4,055,539	\$4,055,539
93.777.002 SUR&C-75%	\$3,120,910	\$4,080,087	\$3,889,622	\$3,012,952	\$3,012,952
93.778.003 XIX ADM 50%	\$3,084,013	\$3,421,351	\$3,371,807	\$3,520,713	\$3,520,713
<b>CFDA Subtotal, Fund 0555</b>	<b>\$10,935,253</b>	<b>\$11,516,387</b>	<b>\$10,983,164</b>	<b>\$10,589,204</b>	<b>\$10,589,204</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$10,935,253</b>	<b>\$11,516,387</b>	<b>\$10,983,164</b>	<b>\$10,589,204</b>	<b>\$10,589,204</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$16,418,651</b>	<b>\$17,217,101</b>	<b>\$16,310,020</b>	<b>\$16,763,061</b>	<b>\$16,763,061</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>283.4</b>	<b>288.2</b>	<b>292.2</b>	<b>292.2</b>	<b>292.2</b>